

NEW UNITED STATES UTILITY PATENT APPLICATION  
under 37 C.F.R. 1.53(b)

Page 1

Atty. Docket No. 04776.81658

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10: The undersigned hereby certifies that this United States Patent Application and all papers noted herein as being attached, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 today, **October 5, 1999**, and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

**Express Mail Label No.: EM461382595US**



Assistant Commissioner for Patents  
Box Patent Applications  
Washington, D.C. 20231

Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): Ira A. Gerson

Title of Invention: SPEECH RECOGNITION TECHNIQUE BASED ON LOCAL INTERRUPT DETECTION

1. ☒ Specification 27 pages (including specification, claims, abstract) / 29 claims (5 independent)
2. ☒ Declaration/Power of Attorney is:  
☒ attached in the regular manner.  
☐ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 5 Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement  
☐ Form 1449  
☐ A copy of each cited prior art reference
6. ☒ Assignment with Cover Sheet.
7. ☐ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)

**NEW UNITED STATES UTILITY PATENT APPLICATION**  
**under 37 C.F.R. 1.53(b)**

Page 2

Atty. Docket No. 04776.81658

8. ☐ Priority document(s).
9. ☒ Statement Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).  
☐ Statement verifying identity of above copies.

12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$760.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	9	18.00	\$162.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	2	78.00	\$156.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	260.00	\$0.00
Subtotal - Filing Fee Due			\$1,078.00
	MULTIPLY BY		
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0.5		\$539.00
<b>TOTAL FILING FEE DUE</b>			<b>\$539.00</b>
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	1	40.00	\$40.00
<b>GRAND TOTAL DUE</b>			<b>\$579.00</b>

13. PAYMENT is:

- ☒ included in the amount of the GRAND TOTAL by our enclosed check. A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 01-0850 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.
- ☐ not included, but deferred under 37 C.F.R. § 1.53(f).

NEW UNITED STATES UTILITY PATENT APPLICATION  
under 37 C.F.R. 1.53(b)

Page 3

Atty. Docket No. 04776.81658

14. All correspondence for the attached application should be directed to:

Banner & Witcoff, Ltd.  
Ten South Wacker Drive, Suite 3000  
Chicago, IL 60606-7407  
Telephone: (312) 715-1000  
Facsimile: (312) 715-1234

15. Other: \_\_\_\_\_

Date: October 5, 1999

By: Christopher P. Moreno  
Christopher P. Moreno  
Reg. No. 38,566

CPM:dal


**CERTIFICATE OF MAILING**

(PATENT)

Express Mail No. EM461382595US

Deposited October 5, 1999

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Applications, Washington, DC 20231.

By: 

Design Patent Application of:

Inventor: **Ira A. Gerson**

Attorney Docket No.: 04776.81658

Title: **SPEECH RECOGNITION TECHNIQUE BASED ON LOCAL INTERRUPT DETECTION**

- ☒ New U.S. Utility Patent Application Under 37 CFR 1.53(b) Transmittal
- ☒ Specification (27 pages including specification, 29 claims and abstract)
- ☒ Executed Declaration and Power of Attorney - 2 pages
- ☒ Drawings - Informal - 5 pages
- ☒ Assignment with Cover Sheet - 2 pages
- ☒ Statement Claiming Small Entity Status - 2 pages
- ☒ Filing Fee Check - \$579.00
- ☒ Return Receipt Postcard

B & W Case No.: 99,303

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 04776.81658)

Applicant or  
Patentee: Ira A. Gerson  
Serial or  
Patent No. \_\_\_\_\_ Filed or  
Issued: \_\_\_\_\_

Title: SPEECH RECOGNITION TECHNIQUE BASED ON  
LOCAL INTERRUPT DETECTION

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. § 1.9(f) AND § 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Auvo Technologies, Inc.  
ADDRESS OF CONCERN 87 Omni Drive  
Schaumburg, Illinois 60193

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SPEECH RECOGNITION TECHNIQUE BASED ON  
LOCAL INTERRUPT DETECTION  
by inventor(s) Ira A. Gerson  
described in \_\_\_\_\_

- ☒ the specification filed herewith  
☐ Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual concern or organization having rights in the invention must file verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d), or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization having any rights to the invention is listed below:

- ☒ No such person, concern or organization exists.  
☐ Each such person, concern or organization is listed below.

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights in the invention averring to their status as small entities. (37 CFR § 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing therein, or any patent to which this verified statement is directed.

Ira A. Gerson

NAME OF PERSON SIGNING

President

TITLE IN ORGANIZATION

Auvo Technologies, Inc., 87 Omni Drive, Schaumburg, Illinois 60193

ADDRESS OF PERSON SIGNING



Signature

October 4, 1999

Date

# **SPEECH RECOGNITION TECHNIQUE BASED ON LOCAL INTERRUPT DETECTION**

## **Technical Field**

The present invention relates generally to communication systems incorporating speech recognition and, in particular, to a novel technique of interrupt detection during a voice communication.

## **Background of The Invention**

Speech recognition systems are generally known in the art, particularly in relation to telephony systems. U.S. Patent Nos. 4,914,692; 5,475,791; 5,708,704; and 5,765,130 illustrate exemplary telephone networks that incorporate speech recognition systems. A common feature of such systems is that the speech recognition element (i.e., the device or devices performing speech recognition) is typically centrally located within the fabric of the telephone network, as opposed to at the subscriber's communication device (i.e., the user's telephone). In a typical application, a combination of speech synthesis and speech recognition elements is deployed within a telephone network or infrastructure. Callers may access the system and, via the speech synthesis element, be presented with informational prompts or queries in the form of synthesized or recorded speech. A caller will typically provide a spoken response to the synthesized speech and the speech recognition element will process the caller's spoken response in order to provide further service to the caller.

A particular application of these types of systems has been the creation of "electronic assistants", sometimes referred to as "virtual assistants" or "automated attendants". For example, U.S. Patent No. 5,652,789 (hereinafter referred to as "the '789 patent") describes a service that allows a subscriber to the service to manage personal communications through the use of an electronic assistant. Using speech recognition technology, the subscriber can issue voice-based commands to manage incoming and outgoing calls and messages. As in typical telephony-based systems, the speech recognition element described in the '789 patent is located entirely within the fabric of the telephone infrastructure. One feature particularly described in the '789 patent is the ability for the speech recognition element, in providing the electronic assistant service, to enter a

“background mode” while the subscriber is engaged in a voice communication with another party. While in this background mode, the electronic assistant monitors the subscriber’s voice communication for the occurrence of a given set of voice-based commands, particularly a “summoning command” that causes the electronic assistant to enter a “foreground mode”. In the foreground mode, the electronic assistant continues to monitor for a larger set of voice-based commands. In this manner, the electronic assistant is literally “on call” to service the needs of the subscriber and is invoked through the detection of a particular summoning or “wake up” command.

As noted above, the ability to invoke the electronic assistant described in the ‘789 patent is enabled through the speech recognition element deployed within the telephone network. Various other systems implementing similar electronic assistant services are currently available to the public. Likewise, these systems are enabled through the use of network-based speech recognition elements. These systems generally offer acceptable performance due, in part, to the nature of the telephone network. Because latencies or delays are typically small (on the order of a few milliseconds) within most telephone networks, the use of infrastructure-based speech recognition elements is practicable, particularly as applied to “wake up” commands for electronic assistants. However, current systems have generally failed to address wireless systems. Given the fluctuating nature of wireless communication channels (i.e., time-varying degradation factors and throughput delays), and the differences in speech processing applied, for example, in different cellular systems, the use of purely infrastructure-based speech recognition elements is likely to be problematic. Current solutions also utilize a full speech channel and dedicated network resources to provide a “wake up” to speech recognition functionality. These methods make inefficient use of “airtime” and network resources for network-based speech recognition enabled services. This is a significant factor in determining the cost to provide these services. Thus, it would be advantageous to provide a more efficient technique that allows subscribers to electronic assistant services, or other speech-based services, to be able to “wake up” speech recognition functionality in a wireless communication environment.

### **Summary of The Invention**

The present invention provides a technique, principally applicable to wireless communication environments, for waking up or summoning speech recognition functionality. In particular, the



present invention incorporates local detection of an interrupt indicator during a voice communication between a user of the subscriber unit and another person. Responsive to the interrupt indicator, a portion of a speech recognition element is activated to begin processing voice-based commands. In one embodiment, the speech recognition element is implemented at least in part within the infrastructure and preferably comprises a speech recognition client implemented within the subscriber unit and a speech recognition server implemented within the infrastructure of the wireless communication system. In another embodiment, the interrupt indicator may be provided using an input device forming a part of the subscriber unit. In yet another embodiment, a local speech recognizer within the subscriber unit can be used to provide the interrupt indicator. By locally detecting interrupt indicators at the subscriber unit, as opposed to prior art techniques that relied on infrastructure-based detection, the present invention more readily enables the use of electronic assistants and similar services in wireless communication environments.

### **Brief Description of the Drawings**

FIG. 1 is a block diagram of a wireless communications system in accordance with the present invention.

FIG. 2 is a block diagram of a subscriber unit in accordance with the present invention.

FIG. 3 is a schematic illustration of voice and data processing functionality within a subscriber unit in accordance with the present invention.

FIG. 4 is a block diagram of a speech recognition server in accordance with the present invention.

FIG. 5 is a schematic illustration of voice and data processing functionality within a speech recognition server in accordance with the present invention.

FIG. 6 is a flow chart illustrating operation of a subscriber unit in accordance with the present invention.

## Detailed Description of the Preferred Embodiment

The present invention may be more fully described with reference to FIGS. 1-6. FIG. 1 illustrates the overall system architecture of a wireless communication system 100 comprising subscriber units 102-103. The subscriber units 102-103 communicate with an infrastructure via a wireless channel 105 supported by a wireless system 110. The infrastructure of the present invention may comprise, in addition to the wireless system 110, any of a small entity system 120, a content provider system 130 and an enterprise system 140 coupled together via a data network 150.

The subscriber units may comprise any wireless communication device, such as a handheld cellphone 103 or a wireless communication device residing in a vehicle 102, capable of communicating with a communication infrastructure. It is understood that a variety of subscriber units, other than those shown in FIG. 1, could be used; the present invention is not limited in this regard. The subscriber units 102-103 preferably include the components of a hands-free cellular phone, for hands-free voice communication, a local speech recognition and synthesis system, and the client portion of a client-server speech recognition and synthesis system. These components are described in greater detail below with respect to FIGS. 2 and 3.

The subscriber units 102-103 wirelessly communicate with the wireless system 110 via the wireless channel 105. The wireless system 110 preferably comprises a cellular system, although those having ordinary skill in the art will recognize that the present invention may be beneficially applied to other types of wireless systems supporting voice communications. The wireless channel 105 is typically a radio frequency (RF) carrier implementing digital transmission techniques and capable of conveying speech and/or data both to and from the subscriber units 102-103. It is understood that other transmission techniques, such as analog techniques, may also be used. In a preferred embodiment, the wireless channel 105 is a wireless packet data channel, such as the General Packet Data Radio Service (GPRS) defined by the European Telecommunications Standards Institute (ETSI). The wireless channel 105 transports data to facilitate communication between a client portion of the client-server speech recognition and synthesis system, and the server portion of the client-server speech recognition and synthesis system. Other information, such as display, control, location, or status information can also be transported across the wireless channel 105.

The wireless system 110 comprises an antenna 112 that receives transmissions conveyed by

the wireless channel 105 from the subscriber units 102-103. The antenna 112 also transmits to the subscriber units 102-103 via the wireless channel 105. Data received via the antenna 112 is converted to a data signal and transported to the wireless network 113. Conversely, data from the wireless network 113 is sent to the antenna 112 for transmission. In the context of the present invention, the wireless network 113 comprises those devices necessary to implement a wireless system, such as base stations, controllers, resource allocators, interfaces, databases, etc. as generally known in the art. As those having ordinary skill in the art will appreciate, the particular elements incorporated into the wireless network 113 is dependent upon the particular type of wireless system 110 used, e.g., a cellular system, a trunked land-mobile system, etc.

A speech recognition server 115 providing a server portion of a client-server speech recognition and synthesis system may be coupled to the wireless network 113 thereby allowing an operator of the wireless system 110 to provide speech-based services to users of the subscriber units 102-103. A control entity 116 may also be coupled to the wireless network 113. The control entity 116 can be used to send control signals, responsive to input provided by the speech recognition server 115, to the subscriber units 102-103 to control the subscriber units or devices interconnected to the subscriber units. As shown, the control entity 116, which may comprise any suitably programmed general purpose computer, may be coupled to the speech recognition server 115 either through the wireless network 113 or directly, as shown by the dashed interconnection.

As noted above, the infrastructure of the present invention can comprise a variety of systems 110, 120, 130, 140 coupled together via a data network 150. A suitable data network 150 may comprise a private data network using known network technologies, a public network such as the Internet, or a combination thereof. As alternatives, or in addition to, the speech recognition server 115 within the wireless system 110, remote speech recognition servers 123, 132, 143, 145 may be connected in various ways to the data network 150 to provide speech-based services to the subscriber units 102-103. The remote speech recognition servers, when provided, are similarly capable of communicating to with the control entity 116 through the data network 150 and any intervening communication paths.

A computer 122, such as a desktop personal computer or other general-purpose processing device, within a small entity system 120 (such as a small business or home) can be used to

implement a speech recognition server 123. Data to and from the subscriber units 102-103 is routed through the wireless system 110 and the data network 150 to the computer 122. Executing stored software algorithms and processes, the computer 122 provides the functionality of the speech recognition server 123, which, in the preferred embodiment, includes the server portions of both a speech recognition system and a speech synthesis system. Where, for example, the computer 122 is a user's personal computer, the speech recognition server software on the computer can be coupled to the user's personal information residing on the computer, such as the user's email, telephone book, calendar, or other information. This configuration would allow the user of a subscriber unit to access personal information on their personal computer utilizing a voice-based interface. The client portions of the client-server speech recognition and speech synthesis systems in accordance with the present invention are described in conjunction with FIGS. 2 and 3 below. The server portions of the client-server speech recognition and speech synthesis systems in accordance with the present invention are described in conjunction with FIGS. 4 and 5 below.

Alternatively, a content provider 130, which has information it would like to make available to users of subscriber units, can connect a speech recognition server 132 to the data network. Offered as a feature or special service, the speech recognition server 132 provides a voice-based interface to users of subscriber units desiring access to the content provider's information (not shown).

Another possible location for a speech recognition server is within an enterprise 140, such as a large corporation or similar entity. The enterprise's internal network 146, such as an Intranet, is connected to the data network 150 via security gateway 142. The security gateway 142 provides, in conjunction with the subscriber units, secure access to the enterprise's internal network 146. As known in the art, the secure access provided in this manner typically rely, in part, upon authentication and encryption technologies. In this manner, secure communications between subscriber units and an internal network 146 via an unsecured data network 150 are provided. Within the enterprise 140, server software implementing a speech recognition server 145 can be provided on a personal computer 144, such as a given employee's workstation. Similar to the configuration described above for use in small entity systems, the workstation approach allows an employee to access work-related or other information through a voice-based interface. Also, similar

to the content provider 130 model, the enterprise 140 can provide an internally available speech recognition server 143 to provide access to enterprise databases.

Regardless of where the speech recognition servers of the present invention are deployed, they can be used to implement a variety of speech-based services. For example, operating in conjunction with the control entity 116, when provided, the speech recognition servers enable operational control of subscriber units or devices coupled to the subscriber units. It should be noted that the term speech recognition server, as used throughout this description, is intended to include speech synthesis functionality as well.

The infrastructure of the present invention also provides interconnections between the subscriber units 102-103 and normal telephony systems. This is illustrated in FIG. 1 by the coupling of the wireless network 113 to a POTS (plain old telephone system) network 118. As known in the art, the POTS network 118, or similar telephone network, provides communication access to a plurality of calling stations 119, such as landline telephone handsets or other wireless devices. In this manner, a user of a subscriber unit 102-103 can carry on voice communications with another user of a calling station 119. As described in greater detail below, the present invention provides a technique for locally detecting interrupt indicators, such as wake up commands to an electronic assistant, during such voice communications.

FIG. 2 illustrates a hardware architecture that may be used to implement a subscriber unit in accordance with the present invention. As shown, two wireless transceivers may be used: a wireless data transceiver 203, and a wireless voice transceiver 204. As known in the art, these transceivers may be combined into a single transceiver that can perform both data and voice functions. The wireless data transceiver 203 and the wireless speech transceiver 204 are both connected to an antenna 205. Alternatively, separate antennas for each transceiver may also be used. The wireless voice transceiver 204 performs all necessary signal processing, protocol termination, modulation/demodulation, etc. to provide wireless voice communication and, in the preferred embodiment, comprises a cellular transceiver. In a similar manner, the wireless data transceiver 203 provides data connectivity with the infrastructure. In a preferred embodiment, the wireless data transceiver 203 supports wireless packet data, such as the General Packet Data Radio Service (GPRS) defined by the European Telecommunications Standards Institute (ETSI).

It is anticipated that the present invention can be applied with particular advantage to in-vehicle systems, as discussed below. When employed in-vehicle, a subscriber unit in accordance with the present invention also includes processing components that would generally be considered part of the vehicle and not part of the subscriber unit. For the purposes of describing the instant invention, it is assumed that such processing components are part of the subscriber unit. It is understood that an actual implementation of a subscriber unit may or may not include such processing components as dictated by design considerations. In a preferred embodiment, the processing components comprise a general-purpose processor (CPU) 201, such as a "POWER PC" by IBM Corp., and a digital signal processor (DSP) 202, such as a DSP56300 series processor by Motorola Inc. The CPU 201 and the DSP 202 are shown in contiguous fashion in FIG. 2 to illustrate that they are coupled together via data and address buses, as well as other control connections, as known in the art. Alternative embodiments could combine the functions for both the CPU 201 and the DSP 202 into a single processor or split them into several processors. Both the CPU 201 and the DSP 202 are coupled to a respective memory 240, 241 that provides program and data storage for its associated processor. Using stored software routines, the CPU 201 and/or the DSP 202 can be programmed to implement at least a portion of the functionality of the present invention. Software functions of the CPU 201 and DSP 202 will be described, at least in part, with regard to FIGS. 3 and 6 below.

In a preferred embodiment, subscriber units also include a global positioning satellite (GPS) receiver 206 coupled to an antenna 207. The GPS receiver 206 is coupled to the DSP 202 to provide received GPS information. The DSP 202 takes information from GPS receiver 206 and computes location coordinates of the wireless communications device. Alternatively the GPS receiver 206 may provide location information directly to the CPU 201.

Various inputs and outputs of the CPU 201 and DSP 202 are illustrated in FIG. 2. As shown in FIG. 2, the heavy solid lines correspond to voice-related information, and the heavy dashed lines correspond to control/data-related information. Optional elements and signal paths are illustrated using dotted lines. The DSP 202 receives microphone audio 220 from a microphone 270 that provides voice input for both telephone (cellphone) conversations and voice input to both a local speech recognizer and a client-side portion of a client-server speech recognizer, as described in

further detail below. The DSP 202 is also coupled to output audio 211 which is directed to at least one speaker 271 that provides voice output for telephone (cellphone) conversations and voice output from both a local speech synthesizer and a client-side portion of a client-server speech synthesizer. Note that the microphone 270 and the speaker 271 may be proximally located together, as in a handheld device, or may be distally located relative to each other, as in an automotive application having a visor-mounted microphone and a dash or door-mounted speaker.

In one embodiment of the present invention, the CPU 201 is coupled through a bi-directional interface 230 to an in-vehicle data bus 208. This data bus 208 allows control and status information to be communicated between various devices 209a-n in the vehicle, such as a cellphone, entertainment system, climate control system, etc. and the CPU 201. It is expected that a suitable data bus 208 will be an ITS Data Bus (IDB) currently in the process of being standardized by the Society of Automotive Engineers. Alternative means of communicating control and status information between various devices may be used such as the short-range, wireless data communication system being defined by the Bluetooth Special Interest Group (SIG). The data bus 208 allows the CPU 201 to control the devices 209 on the vehicle data bus in response to voice commands recognized either by a local speech recognizer or by the client-server speech recognizer.

CPU 201 is coupled to the wireless data transceiver 203 via a receive data connection 231 and a transmit data connection 232. These connections 231-232 allow the CPU 201 to receive control information and speech-synthesis information sent from the wireless system 110. The speech-synthesis information is received from a server portion of a client-server speech synthesis system via the wireless data channel 105. The CPU 201 decodes the speech-synthesis information that is then delivered to the DSP 202. The DSP 202 then synthesizes the output speech and delivers it to the audio output 211. Any control information received via the receive data connection 231 may be used to control operation of the subscriber unit itself or sent to one or more of the devices in order to control their operation. Additionally, the CPU 201 can send status information, and the output data from the client portion of the client-server speech recognition system, to the wireless system 110. The client portion of the client-server speech recognition system is preferably implemented in software in the DSP 202 and the CPU 201, as described in greater detail below. When supporting speech recognition, the DSP 202 receives speech from the microphone input 220 and processes this

audio to provide a parameterized speech signal to the CPU 201. The CPU 201 encodes the parameterized speech signal and sends this information to the wireless data transceiver 203 via the transmit data connection 232 to be sent over the wireless data channel 105 to a speech recognition server in the infrastructure.

The wireless voice transceiver 204 is coupled to the CPU 201 via a bi-directional data bus 233. This data bus allows the CPU 201 to control the operation of the wireless voice transceiver 204 and receive status information from the wireless voice transceiver 204. The wireless voice transceiver 204 is also coupled to the DSP 202 via a transmit audio connection 221 and a receive audio connection 210. When the wireless voice transceiver 204 is being used to facilitate a telephone (cellular) call, audio is received from the microphone input 220 by the DSP 202. The microphone audio is processed (e.g., filtered, compressed, etc.) and provided to the wireless voice transceiver 204 to be transmitted to the cellular infrastructure. Conversely, audio received by wireless voice transceiver 204 is sent via the receive audio connection 210 to the DSP 202 where the audio is processed (e.g., decompressed, filtered, etc.) and provided to the speaker output 211.

The processing performed by the DSP 202 will be described in greater detail with regard to FIG. 3.

The subscriber unit illustrated in FIG. 2 may optionally comprise an input device 250 for use in manually providing an interrupt indicator 251 during a voice communication. That is, during a voice conversation, a user of the subscriber unit can manually activate the input device to provide an interrupt indicator, thereby signaling the user's desire to wake up speech recognition functionality. For example, during a voice communication, the user of the subscriber unit may wish to interrupt the conversation in order to provide speech-based commands to an electronic attendant, e.g., to dial up and add a third party to the call. The input device 250 may comprise virtually any type of user-activated input mechanism, particular examples of which include a single or multi-purpose button, a multi-position selector or a menu-driven display with input capabilities. Alternatively, the input device 250 may be connected to the CPU 201 via the bi-directional interface 230 and the in-vehicle data bus 208. Regardless, when such an input device 250 is provided, the CPU 201 acts as a detector to identify the occurrence of the interrupt indicator. When the CPU 201 acts as a detector for the input device 250, the CPU 201 indicates the presence of the interrupt



indicator to the DSP 202, as illustrated by the signal path identified by the reference numeral 260.

Conversely, another implementation uses a local speech recognizer (preferably implemented within the DSP 202 and/or CPU 201) coupled to a detector application to provide the interrupt indicator.

In that case, either the CPU 201 or the DSP 202 would signal the presence of the interrupt indicator, as represented by the signal path identified by the reference numeral 260a. Regardless, once the presence of the interrupt indicator has been detected, a portion of a speech recognition element (preferably the client portion implemented in conjunction with or as part of the subscriber unit) is activated to begin processing voice based commands. Additionally, an indication that the portion of the speech recognition element has been activated may also be provided to the user and to a speech recognition server. In a preferred embodiment, such an indication is conveyed via the transmit data connection 232 to the wireless data transceiver 203 for transmission to a speech recognition server cooperating with the speech recognition client to provide the speech recognition element.

Finally, the subscriber unit is preferably equipped with an annunciator 255 for providing an indication to a user of the subscriber unit in response to annunciator control 256 that the speech recognition functionality has been activated in response to the interrupt indicator. The annunciator 255 is activated in response to the detection of the interrupt indicator, and may comprise a speaker used to provide an audible indication, such as a limited-duration tone or beep. (Again, the presence of the interrupt indicator can be signaled using either the input device-based signal 260 or the speech-based signal 260a.) In another implementation, the functionality of the annunciator is provided via a software program executed by the DSP 202 that directs audio to the speaker output 211. The speaker may be separate from or the same as the speaker 271 used to render the audio output 211 audible. Alternatively, the annunciator 255 may comprise a display device, such as an LED or LCD display, that provides a visual indicator. The particular form of the annunciator 255 is a matter of design choice, and the present invention need not be limited in this regard. Further still, the annunciator 255 may be connected to the CPU 201 via the bi-directional interface 230 and the in-vehicle data bus 208.

Referring now to FIG. 3, a portion of the processing performed within subscriber units (operating in accordance with the present invention) is schematically illustrated. Preferably, the

processing illustrated in FIG. 3 is implemented using stored, machine-readable instructions executed by the CPU 201 and/or the DSP 202. The discussion presented below describes the operation of a subscriber unit deployed within an automotive vehicle. However, the functionality generally illustrated in FIG. 3 and described herein is equally applicable to non-vehicle-based applications that use, or could benefit from the use of, speech recognition.

Microphone audio 220 is provided as an input to the subscriber unit. In an automotive environment, the microphone would be a hands-free microphone typically mounted on or near the visor or steering column of the vehicle. Preferably, the microphone audio 220 arrives at the echo cancellation and environmental processing (ECEP) block 301 in digital form. The speaker audio 211 is delivered to the speaker(s) by the ECEP block 301 after undergoing any necessary processing. In a vehicle, such speakers can be mounted under the dashboard. Alternatively, the speaker audio 211 can be routed through an in-vehicle entertainment system to be played through the entertainment system's speaker system. The speaker audio 211 is preferably in a digital format. When a cellular phone call, for example, is in progress, received audio from the cellular phone arrives at the ECEP block 301 via the receive audio connection 210. Likewise, transmit audio is delivered to the cell phone over the transmit audio connection 221.

The ECEP block 301 provides echo cancellation of speaker audio 211 from the microphone audio 220 before delivery, via the transmit audio connection 221, to the wireless voice transceiver 204. This form of echo cancellation is known as acoustic echo cancellation and is well known in the art. For example, U.S. Patent No. 5,136,599 issued to Amano et al. and titled "Sub-band Acoustic Echo Canceller", and U.S. Patent No. 5,561,668 issued to Genter and entitled "Echo Canceller with Subband Attenuation and Noise Injection Control" teach suitable techniques for performing acoustic echo cancellation, the teachings of which patents are hereby incorporated by this reference.

The ECEP block 301 also provides, in addition to echo-cancellation, environmental processing to the microphone audio 220 in order to provide a more pleasant voice signal to the party receiving the audio transmitted by the subscriber unit. One technique that is commonly used is called noise suppression. The hands-free microphone in a vehicle will typically pick up many types of acoustic noise that will be heard by the other party. This technique reduces the perceived

background noise that the other party hears and is described, for example, in U.S. Patent No. 4,811,404 issued to Vilmur et al., the teachings of which patent are hereby incorporated by this reference.

The ECEP block 301 also provides echo-cancellation processing of synthesized speech provided by the speech-synthesis back end 304 via a first audio path 316, which synthesized speech is to be delivered to the speaker(s) via the audio output 211. As in the case with received voice routed to the speaker(s), the speaker audio "echo" which arrives on the microphone audio path 220 is cancelled out. This allows speaker audio that is acoustically coupled to the microphone to be eliminated from the microphone audio before being delivered to the speech recognition front end 302. This type of processing enables what is known in the art as "barge-in". Barge-in allows a speech recognition system to respond to input speech while output speech is simultaneously being generated by the system. Examples of "barge-in" implementations can be found, for example, in U.S. Patent Nos. 4,914,692; 5,475,791; 5,708,704; and 5,765,130.

Echo-cancelled microphone audio is supplied to a speech recognition front end 302 via a second audio path 326 whenever speech recognition processing is being performed. Optionally, ECEP block 301 provides background noise information to the speech recognition front end 302 via a first data path 327. This background noise information can be used to improve recognition performance for speech recognition systems operating in noisy environments. A suitable technique for performing such processing is described in U.S. Patent No. 4,918,732 issued to Gerson et al., the teachings of which patent are hereby incorporated by this reference.

Based on the echo-cancelled microphone audio and, optionally, the background noise information received from the ECEP block 301, the speech recognition front-end 302 generates parameterized speech information. Together, the speech recognition front-end 302 and the speech synthesis back-end 304 provide the core functionality of a client-side portion of a client-server based speech recognition and synthesis system. Parameterized speech information is typically in the form of feature vectors, where a new vector is computed every 10 to 20 msec. One commonly used technique for the parameterization of a speech signal is mel cepstra as described by Davis et al. in "Comparison Of Parametric Representations For Monosyllabic Word Recognition In Continuously Spoken Sentences," IEEE Transactions on Acoustics Speech and Signal Processing, ASSP-28(4),

pp. 357-366, Aug. 1980, the teachings of which publication are hereby incorporated by this reference.

The parameter vectors computed by the speech recognition front-end 302 are passed to a local speech recognition block 303 via a second data path 325 for local speech recognition processing. The parameter vectors are also optionally passed, via a third data path 323, to a protocol processing block 306 comprising speech application protocol interfaces (API's) and data protocols.

In accordance with known techniques, the processing block 306 sends the parameter vectors to the wireless data transceiver 203 via the transmit data connection 232. In turn, the wireless data transceiver 203 conveys the parameter vectors to a server functioning as a part of the client-server based speech recognizer. (It is understood that the subscriber unit, rather than sending parameter vectors, can instead send speech information to the server using either the wireless data transceiver 203 or the wireless voice transceiver 204. This may be done in a manner similar to that which is used to support transmission of speech from the subscriber unit to the telephone network, or using other adequate representations of the speech signal. That is, the speech information may comprise any of a variety of unparameterized representations: raw digitized audio, audio that has been processed by a cellular speech coder, audio data suitable for transmission according to a specific protocol such as IP (Internet Protocol), etc. In turn, the server can perform the necessary parameterization upon receiving the unparameterized speech information.) While a single speech recognition front-end 302 is shown, the local speech recognizer 303 and the client-server based speech recognizer may in fact utilize different speech recognition front-ends.

The local speech recognizer 303 receives the parameter vectors 325 from the speech recognition front-end 302 and performs speech recognition analysis thereon, for example, to determine whether there are any recognizable utterances within the parameterized speech. In one embodiment, the recognized utterances (typically, words) are sent from the local speech recognizer 303 to the protocol processing block 306 via a fourth data path 324, which in turn passes the recognized utterances to various applications 307 for further processing. The applications 307, which may be implemented using either or both of the CPU 201 and DSP 202, can include a detector application that, based on recognized utterances, ascertains that a speech-based interrupt indicator has been received. For example, the detector compares the recognized utterances against a list of

predetermined utterances (e.g., “wake up”) searching for a match. When a match is detected, the detector application issues a signal 260a signifying the presence of the interrupt indicator. The presence of the interrupt indicator, in turn, is used to activate a portion of speech recognition element to begin processing voice-based commands. This is schematically illustrated in FIG. 3 by the signal 260a being fed to the speech recognition front end. In response, the speech recognition front end 302 would either continue routing parameterized audio to the local speech recognizer or, preferably, to the protocol processing block 306 for transmission to a speech recognition server for additional processing. (Note also that the input device-based signal 260, optionally provided by the input device 250, may also serve the same function.) Additionally, the presence of the interrupt indicator may be sent to transmit data connection 232 to alert an infrastructure-based element of a speech recognizer.

The speech synthesis back end 304 takes as input a parametric representation of speech and converts the parametric representation to a speech signal which is then delivered to ECEP block 301 via the first audio path 316. The particular parametric representation used is a matter of design choice. One commonly used parametric representation is formant parameters as described in Klatt, “Software For A Cascade/Parallel Formant Synthesizer”, Journal of the Acoustical Society of America, Vol. 67, 1980, pp. 971-995. Linear prediction parameters are another commonly used parametric representation as discussed in Markel et al., Linear Prediction of Speech, Springer Verlag, New York, 1976. The respective teachings of the Klatt and Markel et al. publications are incorporated herein by this reference.

In the case of client-server based speech synthesis, the parametric representation of speech is received from the network via the wireless channel 105, the wireless data transceiver 203 and the protocol processing block 306, where it is forwarded to the speech synthesis back-end via a fifth data path 313. In the case of local speech synthesis, an application 307 would generate a text string to be spoken. This text string would be passed through the protocol processing block 306 via a sixth data path 314 to a local speech synthesizer 305. The local speech synthesizer 305 converts the text string into a parametric representation of the speech signal and passes this parametric representation via a seventh data path 315 to the speech synthesis back-end 304 for conversion to a speech signal.

It should be noted that the receive data connection 231 can be used to transport other received information in addition to speech synthesis information. For example, the other received information may include data (such as display information) and/or control information received from the infrastructure, and code to be downloaded into the system. Likewise, the transmit data connection 232 can be used to transport other transmit information in addition to the parameter vectors computed by the speech recognition front-end 302. For example, the other transmit information may include device status information, device capabilities, and information related to barge-in timing.

Referring now to FIG. 4, there is illustrated a hardware embodiment of a speech recognition server that provides the server portion of the client-server speech recognition and synthesis system in accordance with the present invention. This server can reside in several environments as described above with regard to FIG. 1. Data communication with subscriber units or a control entity is enabled through an infrastructure or network connection 411. This connection 411 may be local to, for example, a wireless system and connected directly to a wireless network, as shown in FIG. 1. Alternatively, the connection 411 may be to a public or private data network, or some other data communications link; the present invention is not limited in this regard.

A network interface 405 provides connectivity between a CPU 401 and the network connection 411. The network interface 405 routes data from the network 411 to CPU 401 via a receive path 408, and from the CPU 401 to the network connection 411 via a transmit path 410. As part of a client-server arrangement, the CPU 401 communicates with one or more clients (preferably implemented in subscriber units) via the network interface 405 and the network connection 411. In a preferred embodiment, the CPU 401 implements the server portion of the client-server speech recognition and synthesis system. Although not shown, the server illustrated in FIG. 4 may also comprise a local interface allowing local access to the server thereby facilitating, for example, server maintenance, status checking and other similar functions.

A memory 403 stores machine-readable instructions (software) and program data for execution and use by the CPU 401 in implementing the server portion of the client-server arrangement. The operation and structure of this software is further described with reference to FIG. 5.

FIG. 5 illustrates an implementation of speech recognition and synthesis server functions. Cooperating with at least one speech recognition client, the speech recognition server functionality illustrated in FIG. 5 provides a speech recognition element. Data from a subscriber unit arrives via the receive path 408 at a receiver (RX) 502. The receiver decodes the data and routes speech recognition data 503 from the speech recognition client to a speech recognition analyzer 504. Other information 506 from the subscriber unit, such as device status information, device capabilities, and information related to barge-in context, is routed by the receiver 502 to a local control processor 508. In one embodiment, the other information 506 includes an indication from the subscriber unit that a portion of a speech recognition element (e.g., a speech recognition client) has been activated. Such an indication can be used to initiate speech recognition processing in the speech recognition server.

As part of a client-server speech recognition arrangement, the speech recognition analyzer 504 takes speech recognition parameter vectors from a subscriber unit and completes recognition processing. Recognized words or utterances 507 are then passed to the local control processor 508. A description of the processing required to convert parameter vectors to recognized utterances can be found in Lee et al. "Automatic Speech Recognition: The Development of the Sphinx System", 1988, the teachings of which publication are herein incorporated by this reference. As mentioned above, it is also understood that rather than receiving parameter vectors from the subscriber unit, the server (that is, the speech recognition analyzer 504) may receive speech information that is not parameterized. Again, the speech information may take any of a number of forms as described above. In this case, the speech recognition analyzer 504 first parameterizes the speech information using, for example, the mel cepstra technique. The resulting parameter vectors may then be converted, as described above, to recognized utterances.

The local control processor 508 receives the recognized utterances 507 from the speech recognition analyzer 504 and other information 508. Generally, the present invention requires a control processor to operate upon the recognized utterances and, based on the recognized utterances, provide control signals. In a preferred embodiment, these control signals are used to subsequently control the operation of a subscriber unit or at least one device coupled to a subscriber unit. To this end, the local control processor may preferably operate in one of two manners. First, the local control processor 508 can implement application programs. One example of a typical application

is an electronic assistant as described in U.S. Patent No. 5,652,789. Alternatively, such applications can run remotely on a remote control processor 516. For example, in the system of FIG. 1, the remote control processor would comprise the control entity 116. In this case, the local control processor 508 operates like a gateway by passing and receiving data by communicating with the remote control processor 516 via a data network connection 515. The data network connection 515 may be a public (e.g., Internet), a private (e.g., Intranet), or some other data communications link. Indeed, the local control processor 508 may communicate with various remote control processors residing on the data network dependent upon the application/service being utilized by a user.

The application program running either on the remote control processor 516 or the local control processor 508 determines a response to the recognized utterances 507 and/or the other information 506. Preferably, the response may comprise a synthesized message and/or control signals. Control signals 513 are relayed from the local control processor 508 to a transmitter (TX) 510. Information 514 to be synthesized, typically text information, is sent from the local control processor 508 to a text-to-speech analyzer 512. The text-to-speech analyzer 512 converts the input text string into a parametric speech representation. A suitable technique for performing such a conversion is described in Sproat (editor), "Multilingual Text-To-Speech Synthesis : The Bell Labs Approach", 1997, the teachings of which publication are incorporated herein by this reference. The parametric speech representation 511 from the text-to-speech analyzer 512 is provided to the transmitter 510 that multiplexes, as necessary, the parametric speech representation 511 and the control information 513 over the transmit path 410 for transmission to a subscriber unit. Operating in the same manner just described, the text-to-speech analyzer 512 may also be used to provide synthesized prompts or the like to be played as an output audio signal at a subscriber unit.

Referring now to FIG. 6, there is illustrated a flow chart describing operation of a subscriber unit in accordance with the present invention. Beginning at step 601, a user of the subscriber unit, via wireless communications with an infrastructure, engages in a voice communication with another person through the infrastructure. Using any of the mechanisms described above, e.g., an input device or local speech recognition, and during the voice communication, the subscriber unit locally detects, at step 602, the presence of an interrupt indicator. The interrupt indicator is typically



indicative of the subscriber unit user's desire to interrupt the voice communication, for example, to wake up an electronic assistant and begin giving voice-based commands to the assistant.

Responsive to the presence of the interrupt indicator, a portion of a speech recognition element is activated at step 603. In a preferred embodiment, as described above, this is done by causing a client-side portion of a client-server speech recognizer and speech synthesis system to begin processing voice-based commands. Additionally, at step 604, an indication, responsive to the presence of the interrupt indicator, can be provided to alert the user that the speech recognition element, and in particular that portion of the speech recognition element residing on the subscriber unit, has been activated. Such an indication can be any sufficiently unique and perceptible stimulus. In this manner, the user of the subscriber unit will then know that their desire to interrupt the voice communication has been acknowledged, and that they may continue with the knowledge that the voice recognition element has been activated. Additionally at step 604, the indication, suitably processed for wireless transmission, may be provided to a speech recognition server forming a part of the speech recognition element.

The present invention as described above provides a unique technique for waking up or summoning speech recognition functionality. This technique is more readily applicable to a wireless communication environment in part because the detection of interrupt indicators, such as wake up commands, is performed locally at a subscriber unit. What has been described above is merely illustrative of the application of the principles of the present invention. Other arrangements and methods can be implemented by those skilled in the art without departing from the spirit and scope of the present invention.

## Claims

What is claimed is:

1. In a subscriber unit capable of wireless communication with an infrastructure thereby providing voice communications between a user of the subscriber unit and another person via the infrastructure, the infrastructure comprising a speech recognition server, a method comprising steps of:
  - engaging in a voice communication between the user of the subscriber unit and the other person via the infrastructure;
  - locally detecting, during the voice conversation, an interrupt indicator; and
  - activating, in response to the interrupt indicator, a portion of a speech recognition element to begin processing voice-based commands, wherein the speech recognition element is implemented at least in part within the infrastructure.
2. The method of claim 1, wherein the step of locally detecting further comprises a step of:
  - activating an input device forming a part of the subscriber unit to provide the interrupt indicator.
3. The method claim 2, wherein the step of activating the input device comprises a step of activating any of a button, a selector and a menu-driven input device.
4. The method of claim 1, wherein the step of locally detecting further comprises steps of:
  - locally monitoring the voice communication, via a local speech recognizer implemented within the subscriber unit, for at least one predetermined utterance; and
  - providing the interrupt indicator upon detecting one of the at least one predetermined utterance.
5. The method of claim 1, wherein the step of activating the portion of the speech recognition element comprises a step of :
  - activating a speech recognition client implemented within the subscriber unit,

wherein the speech recognition client and the speech recognition server cooperate to provide the speech recognition element.

6. The method of claim 5, further comprising a step of:  
providing, by the speech recognition client, parameterized speech information to the speech recognition server.
7. The method of claim 1, further comprising a step of:  
providing, to the user, an indication that the portion of the speech recognition element has been activated.
8. The method of claim 1, further comprising a step of:  
providing, to the speech recognition server, an indication that the portion of the speech recognition element has been activated.

9. A subscriber unit that wirelessly communicates with an infrastructure, the subscriber unit comprising:

a detector for locally detecting presence of an interrupt indicator during a voice communication between the subscriber unit and the infrastructure; and

a portion of a speech recognition element that takes as input the presence of the interrupt indicator and, being activated by the presence of the interrupt indicator, begins processing voice-based commands, wherein the speech recognition element is implemented at least in part within the infrastructure.

10. The subscriber unit of claim 9, further comprising:

an input device, coupled to the detector, that provides the interrupt indicator when activated.

11. The subscriber unit of claim 10, wherein the input device comprises any of a button, a selector and a menu-driven input device.

12. The subscriber unit of claim 9, wherein the detector comprises a local speech recognizer that monitors the voice communication for at least one predetermined utterance and that detects the presence of the interrupt indicator upon detecting one of the at least one predetermined utterance.

13. The subscriber unit of claim 9, wherein the portion of the speech recognition element comprises a speech recognition client, and wherein the speech recognition client and a speech recognition server implemented within the infrastructure cooperate to provide the speech recognition element.

14. The subscriber unit of claim 9, further comprising:

an annunciator, responsive to the interrupt indicator, for indicating that the portion of the speech recognition element has been activated.

15. The subscriber unit of claim 9, further comprising:

a wireless transceiver that transmits, to the infrastructure, parameterized speech information provided by the portion of the speech recognition element.

16. The subscriber unit of claim 15, wherein the wireless transceiver transmits an indication, to the infrastructure, that the portion of the speech recognition element has been activated.

17. A wireless communication system comprising at least one subscriber unit in wireless communication with an infrastructure, the wireless communication system comprising:

within each of the at least one subscriber unit:

a detector for locally detecting the presence of an interrupt indicator during a voice communication between one of the at least one subscriber unit and the infrastructure;

a speech recognition client that takes as input the presence of the interrupt indicator and, being activated by the presence of the interrupt indicator, begins processing voice-based commands; and

a speech recognition server, within the infrastructure, that cooperates with the speech recognition client to provide a speech recognition element.

18. The wireless communication system of claim 17, wherein the at least one subscriber unit comprises a radio telephone.

19. The wireless communication system of claim 17, wherein the at least one subscriber unit comprises an in-vehicle wireless communication device.

20. In a speech recognition server forming a part of an infrastructure and a part of a speech recognition element, the infrastructure in wireless communication with at least one subscriber unit, a method comprising steps of:

receiving, from a subscriber unit of the at least one subscriber unit, speech information provided in response to local detection, at the subscriber unit, of an interrupt indicator during a voice communication; and

performing speech recognition processing based on the speech information.

21. The method of claim 20, further comprising steps of:

receiving, from the subscriber unit, an indication that a portion of the speech recognition element has been activated; and

initiating speech recognition processing based on the indication.

22. The method of claim 20, wherein the interrupt indicator is provided by activating, at the subscriber unit, an input device.

23. The method of claim 20, wherein the interrupt indicator is provided by locally monitoring, at the subscriber unit, the voice communication for at least one predetermined utterance.

24. The method of claim 20, further comprising a step of:

processing the speech information to provide parameterized speech information, wherein the speech recognition processing is based on the parameterized speech information.

25. A speech recognition server for use in an infrastructure that is in wireless communication with at least one subscriber unit, the speech recognition server comprising:

a receiver that takes as input speech information received from a subscriber unit of the at least one subscriber unit in response to local detection, at the subscriber unit, of an interrupt indicator during a voice communication; and

a speech recognition analyzer that performs speech recognition processing based on the speech information.

26. The speech recognition server of claim 25, wherein the receiver further operates to receive, from the subscriber unit, an indication that a portion of a speech recognition element has activated, and wherein the indication initiates speech recognition processing.

27. The speech recognition server of claim 25, wherein the interrupt indicator is provided by activating, at the subscriber unit, an input device.

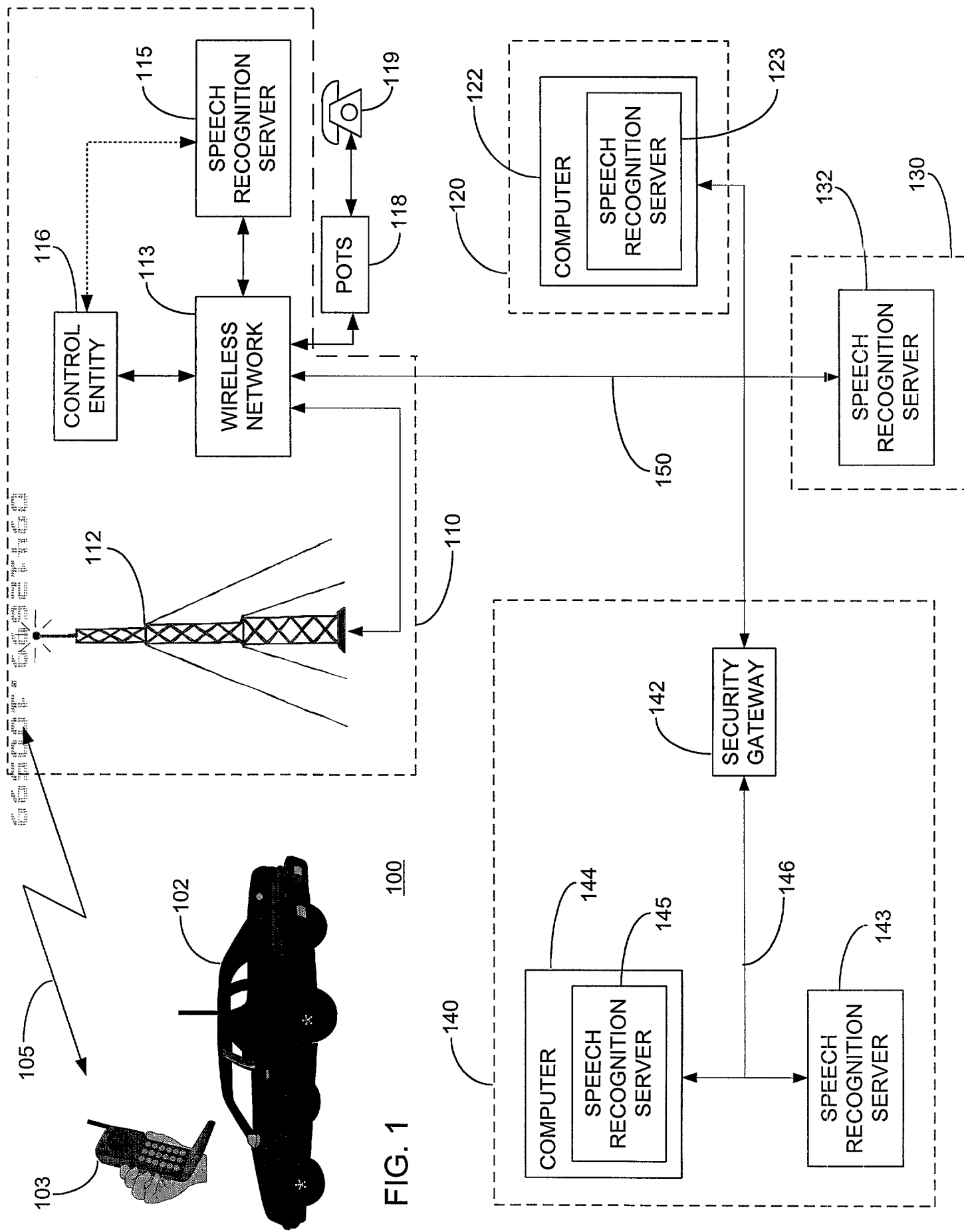
28. The speech recognition server of claim 25, wherein the interrupt indicator is provided by locally monitoring, at the subscriber unit, the voice communication for at least one predetermined utterance.

29. The speech recognition server of claim 25, wherein the speech recognition analyzer processes the speech information to provide parameterized speech information and the speech recognition processing is based on the parameterized speech information.



### **Abstract of the Disclosure**

In a wireless communication system, local detection of an interrupt indicator during a voice communication between a user of a subscriber unit and another person is provided. Responsive to the interrupt indicator, a portion of a speech recognition element is activated to begin processing voice-based commands. The speech recognition element can be implemented at least in part within an infrastructure, such as in a client-server speech recognition arrangement. The interrupt indicator may be provided using an input device forming a part of the subscriber unit, or through the use of a local speech recognizer within the subscriber unit. By locally detecting interrupt indicators at the subscriber unit, the present invention more readily enables the use of electronic assistants and similar services in wireless communication environments.



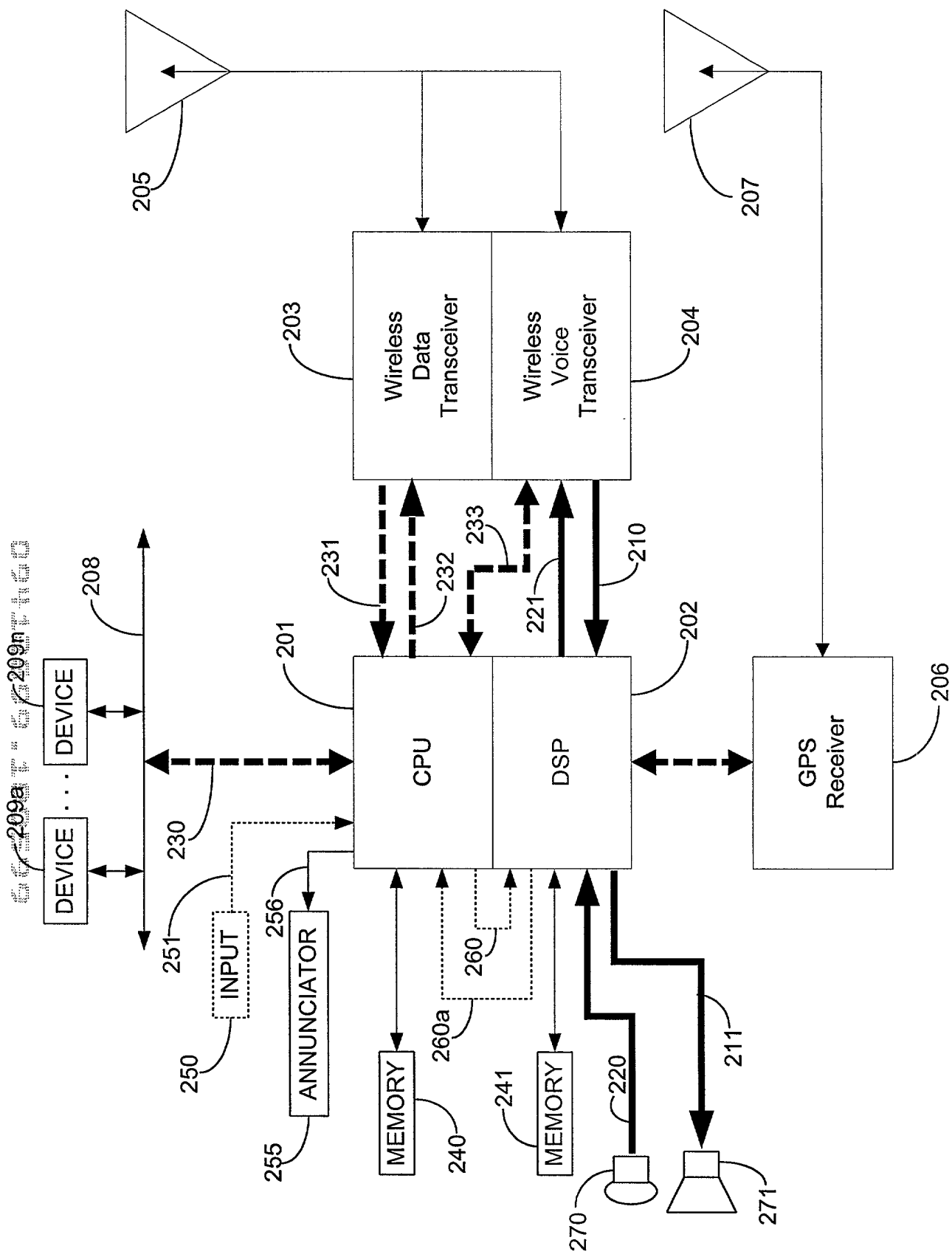


FIG. 2

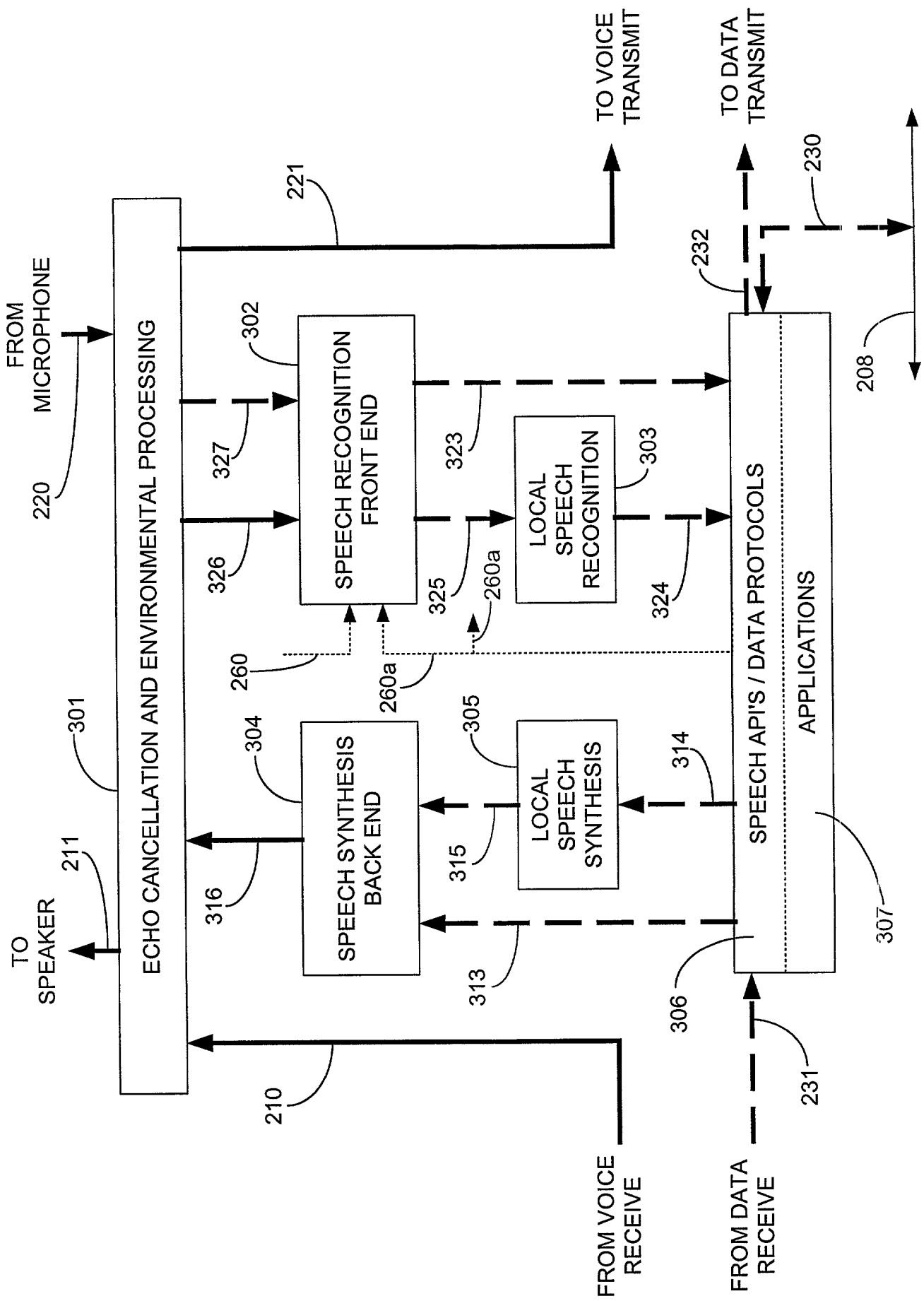


FIG. 3

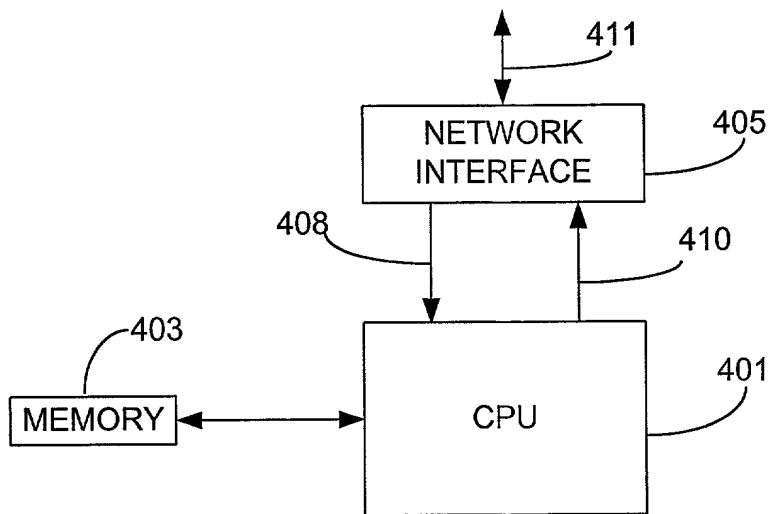


FIG. 4

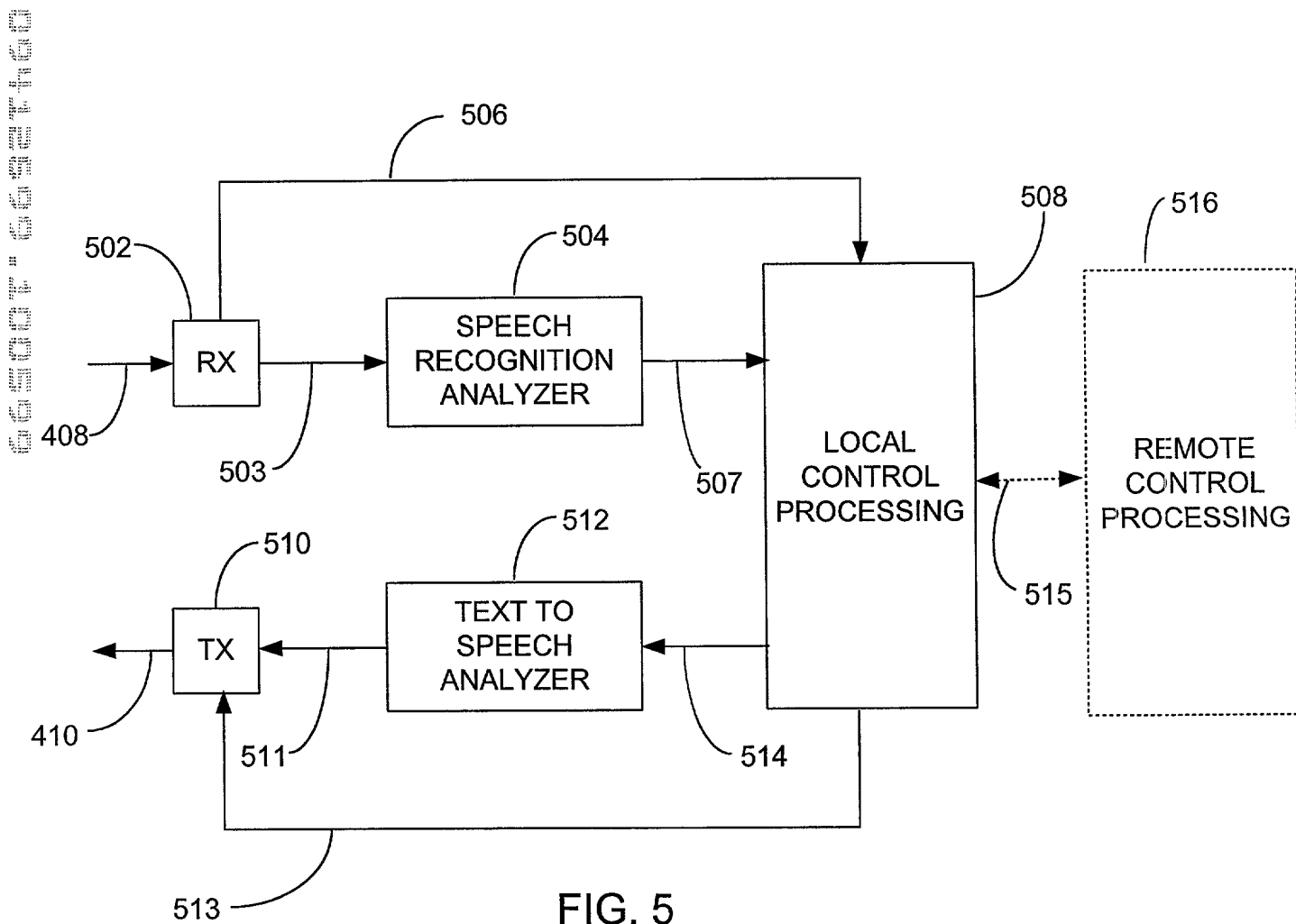


FIG. 5

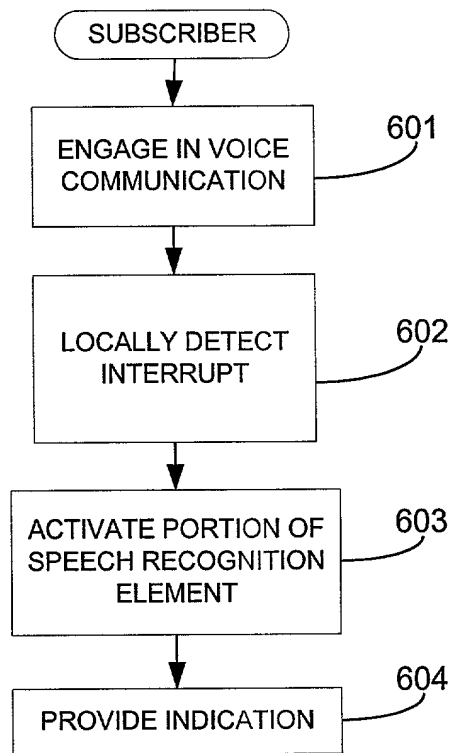


FIG. 6

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## SPEECH RECOGNITION TECHNIQUE BASED ON LOCAL INTERRUPT DETECTION

the specification of which is attached hereto unless the following space is checked:

\_\_\_\_\_ was filed on \_\_\_\_\_ as United States Application Serial Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Priority Not Claimed</u>
1.				<input type="checkbox"/>
2.				<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

	<u>Application Number</u>	<u>Filing Date</u>
1.		
2.		

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	<u>Application Number</u>	<u>Filing Date</u>	<u>Status — patented, pending, abandoned</u>
1.			
2.			

I hereby appoint the following attorneys and agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Jon O. Nelson	Reg. No. 24566	John P. Iwanicki	Reg. No. 34628
Sheldon W. Witcoff	Reg. No. 17399	J. Pieter van Es	Reg. No. 37746
James V. Callahan	Reg. No. 20095	Thomas K. Pratt	Reg. No. 37210
Charles W. Shifley	Reg. No. 28042	Kathleen M. Williams	Reg. No. 34380
Mark T. Banner	Reg. No. 29888	Tim C. Meece	Reg. No. 38553
Peter D. McDermott	Reg. No. 29411	John F. Rollins	Reg. No. 38013
Michael H. Shanahan	Reg. No. 24438	Laura J. DeMoor	Reg. No. 39654
Dale A. Malone	Reg. No. 32155	Gregory J. Cohan	Reg. No. 40959
Robert H. Resis	Reg. No. 32168	Binal J. Patel	Reg. No. 42065
Christopher J. Renk	Reg. No. 33761	Scott A. Burow	Reg. No. 42373
Marc S. Cooperman	Reg. No. 34143	William J. Klein	Reg. No. 43719
Joseph P. Krause	Reg. No. 32578	Janice V. Mitrius	Reg. No. 43808
Robert Hart	Reg. No. 35184	Christopher P. Moreno	Reg. No. 38566
Darleen J. Stockley	Reg. No. 34257		

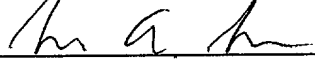
as my Attorneys.

Address all telephone calls to Christopher P. Moreno at (312) 715-1000.

Address all correspondence to BANNER & WITCOFF, LTD., Ten South Wacker Drive, Chicago, Illinois 60606.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): **Ira A. Gerson**

Inventor's signature:  Date: Oct. 4, 1999  
Residence: City of Schaumburg, County of Cook, State of Illinois  
Citizenship: United States of America  
Post Office Address: 87 Omni Drive, Schaumburg, Illinois 60193